

**JOURNAL PUBLICATION IN CHILE, COLOMBIA, AND VENEZUELA:
UNIVERSITY RESPONSES TO GLOBAL, REGIONAL, AND NATIONAL PRESSURES
AND TRENDS**

by

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LIST OF ACRONYMS/ABBREVIATIONS

| | |
|-------------|--|
| AHCI | Thomson Reuters' Arts and Humanities Citation Index |
| AUSJAL | Asociación de Universidades Confiadas a la Sociedad de Jesús en América Latina [Association of Universities of the Jesus Society in Latin America] |
| CDCH | Consejo para el Desarrollo Científico y Humanístico, Universidad Central de Venezuela [Council for Scientific and Humanistic Development] |
| CDCHT | Consejo para el Desarrollo Científico, Humanístico y Tecnológico, Universidad de Los Andes [Council for Scientific, Humanistic, Technological, and Artistic Development] Venezuela |
| CLACSO | Consejo Latinoamericano de Ciencias Sociales [Latin American Council of Social Sciences] |
| CLP\$ | Chilean peso, currency |
| Colciencias | Departamento Colombiano para el Desarrollo de la Ciencia y la Tecnología [Colombian department for the development of Science and technology] |
| CONDES | Consejo para el Desarrollo Científico, Humanístico y Tecnológico, Universidad del Zulia [Council for Scientific, Humanistic, and Technological Development] |
| CONICYT | Comisión Nacional de Investigación Científica y Tecnológica [National Commission for Scientific and Technologic Research] Chile |
| COP\$ | Colombian peso, currency |
| ENG | Quotation translation into English |
| FAPESP | Fundaão de Apoio à Pesquisa do Estado de São Paulo [Foundation for the Promotion of Research of Sao Paulo State] Brazil |

| | |
|----------|---|
| FONDECYT | Fondo para el Desarrollo Científico y Tecnológico [Fund for the Scientific and Technological Development] Chile |
| FONACIT | Fondo Nacional de Ciencia, Tecnología e Innovación [National Fund for Science, Technology, and Innovation] Venezuela |
| HNU | Hemeroteca Nacional Universitaria [National University Library of Periodical Publications] Colombia |
| IADB | Inter-American Development Bank |
| ICTs | Information and Communication Technologies |
| ICFES | Instituto Colombiano para el Fomento de la Educación Superior [Colombian Institute for the Promotion of Higher education] |
| IMF | International Monetary Fund |
| ISI | Thomson Reuters Corporation, previously Institute for Scientific Information |
| LA&C | Latin America and the Caribbean |
| LiLACS | Literatura Latinoamericana en Ciencias de la Salud [Latin American Literature in Health Sciences] index |
| LOCTI | Ley Orgánica de Ciencia y Tecnología [Organic Law of Science and Technology] Venezuela |
| LUZ | Universidad del Zulia, Venezuela |
| MEN | Ministerio de Educación Nacional [Ministry of Education] Colombia |
| OA | Open Access |
| OCyT | Observatorio Colombiano de Ciencia y Tecnología [Colombian Observatory of Science and Technology] |
| OECD | Organization for Economic Cooperation and Development |
| OJS | Open Journal System |
| PAHO | Pan-American Health Organization |
| PEI | Programa de Estímulo a la Investigación [Research Encouraging Program] Venezuela |

| | |
|---------|---|
| PKP | Public Knowledge Project |
| PPI | Programa de Promoción al Investigador [Researcher Promotion program] Venezuela |
| R&D | Research and development |
| S&T | Science and Technology |
| SCI | Thomson Reuters' Science Citation Index |
| SINADIB | (FUNDASINADIB) Fundación Sistema Nacional de Documentación e Información Biomédica [National Biomedical Documentation and Information System] Venezuela |
| SPA | Quotation in Spanish |
| SSCI | Thomson Reuters' Social Science Citation Index |
| UACCh | Universidad Austral de Chile |
| UBV | Universidad Bolivariana de Venezuela |
| UCAB | Universidad Católica Andrés Bello, Venezuela |
| UCV | Universidad Central de Venezuela |
| UdeA | Universidad de Antioquia |
| ULA | Universidad de Los Andes, Venezuela |
| UNAM | Universidad Nacional Autónoma de México |
| USAID | United States Agency for International Development |
| USD\$ | United States dollar, currency |
| VEF\$ | Venezuelan bolívar forte, currency |
| WB | World Bank |
| WoS | Thomson Reuters' Web of Science |

B.1 PRELIMINARY THEMES AND CATEGORIES IDENTIFIED FROM THE TRANSCRIPTIONS

I. Universities in the study: general themes

- **Role of research in universities**
 - Emphasis on teaching
- **Higher education reform**
 - Quality assurance
 - Including journal publication/publications in journals
- **Colombia**
 - Universidad Nacional de Colombia (UNC) – Special regulation
 - Salary increase for publications
 - Decree 1444, nationalization UNC model in public universities
 - Result: Money distribution fair
 - Visible through publications
 - No organization
 - Corruption
 - National vs. international publication – half value
 - ISI (Thomson Reuters' Indexes) as referent – IADB2 loan
 - Attempted decree 2912
 - One-time bonus, no salary increase
 - Decree 1279
 - Productivity assessment system
 - More sensitivity to other forms of productivity
 - National journals: look at Publindex
- **Venezuela**
 - All university professors = researchers
 - Parallel system = salary + salary bonuses
 - Centralized or decentralized governance
 - Specific policies within each academic unit
- **Professorate**
 - Productivity, incentives for publication
 - Chile
 - Government bonuses to universities for ISI, SciELO articles
 - Lists of journals where to publish
 - University management of bonuses
 - Article marketization
 - Colombia
 - Public universities: points for salary
 - Private universities: implementing publication bonuses
 - Journal marketization
 - Venezuela
 - PPI - proliferation
 - Journal marketization

Comment [a1]: Changing mission of universities – From teaching to research

Comment [a2]: University accreditation

Comment [a3]: Global pressures – international indexes
Salary systems - p

Comment [a4]: Faculty productivity - Salaries

Comment [a5]: Governance – centralized/decentralized coordination of journal publication

Comment [a6]: Faculty productivity - Salaries

II. Universities in the study: Chile

• Pontificia Universidad Católica

- First journal indexed by ISI (2001) – EURE (in SciELO 1997)
 - Raised standards for all journals to stay indexed
- Transition of journals from print to electronic publishing
 - Overcome language reduced audience
- Diagnosis of university journals
 - Many
 - Lack of a line
 - Result: Writing and style standards for publications
 - Editors in charge of the other issues – freedom
- Journal funding:
 - Financial liquidity of academic unit
 - CONICYT- competitive grants
 - Publishing (40%) + project (60%): overload for editor
 - University competitive fund (Fondo de Publicaciones Periódicas de la Vicerrectoría de Comunicaciones y Asuntos Públicos)
 - For being in ISI and SciELO
 - Call every 2 years, not extra work
 - School
 - Call every 2 years, no extra work
 - Variable, depending on projects
 - Non indexed journals
 - Department/institute
 - When school fails
 - Publicity
- Journal personnel and salaries
 - Director (academic unit)
 - Editor (funds)
 - Secretary (university)
- University press unit
 - Not imposed publishing service
 - Leadership: no
 - Academic units: freedom
- Data processing for SciELO
 - CONICYT
- University repository
 - Yes
 - Independence of academic units to publish on their own URL
- Journal sustainability
 - Academic unit – reputation
 - No clarity
 - Only guaranteed funding from the university

Comment [a1]: International standards and recognition systems for journal publication

Comment [a2]: Electronic publication
Communication of research – Language of publication

Comment [a3]: Journal publication – institutional standards / policy

Comment [a4]: Journal management

Comment [a5]: Journal funding –
Institution
Journal funding - Government

Comment [a6]: Journal management

Comment [a7]: Journal publication –
Institutional actors

Comment [a8]: Journal publication -
Indexes

Comment [a9]: Institutional arrangements –
technology
Communication of research – Exogamy vs.
endogamy

Comment [a10]: Journal sustainability

- *Universidad de Chile*

- Decentralized governance – cybernetic institution
- No unified policy – journals
- Research vice rectorship
 - Projects and researchers
 - No journals
- School level arrangements and policy
 - School of Social Sciences
 - Journal funding
 - School fund – Direction of Research and Publications (DRP)
 - SciELO/ISI inclusion
 - Competitive funding
 - Data processing
 - Departments' budget (anthropology, sociology, education, psychology)
 - Shared resources-web
 - DRP-Publishing policy within school
 - Each study, a publication
 - Strengthen journals
 - SciELO/ISI inclusion
 - Journal management
 - School's own repository
 - Trapped by indexation and rankings
 - Only ISI and SciELO valued
 - Looking for other indexes and databases – Latindex, EBSCO
 - Perversity of system: Publish in indexed journals vs. challenge for journals to get articles
 - Journal personnel and salaries
 - Full-time professor – work schedule
 - Secretary (university)
 - Role of editor
 - Indexation
 - Policies
 - Undervalued
 - Full-time professor – work schedule
 - Sometimes with assistants
 - Need of professionalization-knowledge
 - Journal sustainability
 - Directives' will
 - Journals valued-culture
 - Funding allocation
 - Journal autonomy
 - Total
 - University press unit
 - No participation
 - Maybe printing and distribution
 - Mostly books
 - University repository
 - Managed by Library

Comment [a1]: Governance - Centralized/decentralized coordination of journal publication

Comment [a2]: Journal publication – institutional standards / policy

Comment [a3]: Journal publication – institutional standards / policy

Comment [a4]: Governance - Centralized/decentralized coordination of journal publication

Comment [a5]: Journal funding - Institution

Comment [a6]: Journal publication – Institutional standards / policy
Journal publication – indexes

Comment [a7]: Journal publication - Indexes

Comment [a8]: Journal management

Comment [a9]: Journal editor – role and characteristics

Comment [a10]: Journal sustainability

Comment [a11]: Journal management

Comment [a12]: Journal publication – institutional actors

Comment [a13]: Institutional arrangements - Technology

- ***Universidad de Concepción***
 - Person in charge of journals
 - University press unit (previously direction of publications)
 - Policy-making
 - Funding for ISI/SciELO journals – total costs
 - Editing, layout, printing costs
 - A few promising journals (after 2-3 years)
 - No printing unit-external
 - One person in charge of process
 - Data processing for SciELO – one person
 - Personnel
 - University journals' coordination – 2 people
 - Technical support – 2 people
 - Assistant editors – technical issues
 - Journal editor training – professionalization
 - Travel to editor meetings
 - Key journals
 - Atenea
 - Nursing
 - ***Universidad Austral de Chile***
 - Institutional repository based on SciELO model
 - Academic unit journal tradition
 - Bibliographic indexes/databases
 - Journals indexed before national/institutional policies and funding
 - Journals collaborated in creation of SciELO Chile
 - Model followed
 - Institutional policy for journal publication
 - No
 - Funding
 - Journal autonomy
 - Training
 - Support
 - Journal funding
 - Direction of research- Academic vice provost fund
 - Authorship fee
 - Difficulties with red tape (bureaucracy)
 - Journal personnel and salaries
 - J. Veterinary Medicine
 - Director-Full-time professor – work schedule
 - Editor-School (part-time)
 - Secretary-Journal
 - J Philology
 - Director editor-Full-time professor – work schedule
 - Technical editor (previously) – sick, not replaced
 - Actors involved
 - Library
 - Document processing for SciELO-journal and library
 - Journal exchange
 - University printing unit
 - Print
 - Key journals
 - Veterinary
 - Philology
- Comment [a1]: Governance - Centralized/decentralized coordination of journal publication
 Journal publication – Institutional actors
 Journal publication – institutional standards / policy

Comment [a2]: Journal funding - Institution

Comment [a3]: Journal publication – Institutional actors
 Journal publication – Indexes
 Institutional arrangements – Training

Comment [a4]: Key journals

Comment [a5]: Institutional arrangements – Technology

Comment [a6]: Journal publication - Indexes

Comment [a7]: Journal publication – Institutional standards / policy
 Institutional arrangements – Training
 Journal funding - institution

Comment [a8]: Journal funding – Institution

Comment [a9]: Journal management

Comment [a10]: Journal publication – Institutional actors

Comment [a11]: Journal publication – Institutional standards / policy

II. Universities in the study: Colombia

- Publishing industry - advanced
- Lack of scientific/scholarly writing/publishing culture
- University models/policy to support/fund journals
 - Coordinator of periodical publications/journals
 - Research Vice Provost-based
 - Universidad de La Sabana
 - Universidad de Antioquia
 - University library-based
 - Pontificia Universidad Javeriana
- University press units – evolving
- Association of journal editors/university journal coordinators
- Journal marketization – commodity
- Pontificia Universidad Javeriana
 - Historical background
 - Editors' meetings
 - Creation of a position
 - Journal publication/organization policy
 - Waiting
 - Institutional partners
 - University press unit
 - Library
 - Legal office
 - ICT department
 - Clash with research office
 - Universidad de Los Andes model
 - Both alternatives: own journals and ISI publications
 - University press unit – services
 - Indexation, editing, publishing
 - University press unit – Coordinator of periodical publications/journals
 - Strategic work
 - Goal – visibility
 - Strategy – most indexes/databases possible
 - Diagnosis and planning
 - Internal/external actors
 - New/young and advanced journals
 - Publishing/editorial and scientific quality
 - Publishing process
 - Growing number of journals
 - Personnel demanding
 - Research and communication
 - Strategic alliances with peers
 - Generate impact factor
 - Agreements with publishers/indexes
 - Universidad de la Sabana, Universidad Nacional, Universidad de Antioquia

Comment [a1]: Publishing sector / industry

Comment [a2]: Communication of research
– Writing culture

Comment [a3]: Governance -
Centralized/decentralized coordination of
journal publication
Journal publication – Institutional standards
/ policy
Journal publication – Institutional actors

Comment [a4]: Journal publication –
Institutional actors

Comment [a5]: University-based journal
publication

Comment [a6]: Journal publication –
Institutional standards / policy

Comment [a7]: Governance -
Centralized/decentralized coordination of
journal publication
Journal publication – Institutional actors

Comment [a8]: Strategic alliances

- Pontificia Universidad Javeriana (second part)

- Institutional journal assessment for indexes
 - All journals
- Challenges
 - Process analysis – editor responsibilities
 - Legal issues – copyright, creative commons
 - Budget/financial analysis
- University printing unit
 - Publishing services as well
 - Some journals
- Online platform – OJS
 - Training with other universities
 - Pilot trial
 - All journals
 - Tried RedALyC's SEGE
- Journal editor training – professionalization, sensibilization
 - ISI, RedALyC, SciELO, OcyT, Scopus
- Journal editors
 - Complaints about work load
 - Leader – successful model
- Journal organization
 - Management
 - Editor, assistant editor, secretary
- Institutional repository
 - Pending
- SciELO
 - Library – data processing temporary
 - Budget issues
- Recent issues – Growing numbers
 - Journals
 - Funding all journals?
 - Professors publishing
 - Bonuses for publication – no highly ranked journals
 - Three positions/perspectives
 - Research office
 - University press unit
 - Office that assigns faculty bonus pay
 - Two policy committees
 - Journals
 - Policy – Recognition of ISI, Scopus, SciELO, RedALyC, specialized indexes
 - Funding model
 - Faculty ladder/salaries
- Key journal
 - Psychology
- Schools with many journals – Law – 5

Comment [a1]: Communication of research
 – Exogamy vs. endogamy
 Journal publication – Institutional standards / policy
 Journal publication – Institutional actors
 Journal publication – Indexes
 Journal management
 Journal / article publication growth

Comment [a2]: Journal publication – Institutional actors

Comment [a3]: Institutional arrangements – Technology

Comment [a4]: Institutional arrangements – Training

Comment [a5]: Journal editor – Role and characteristics

Comment [a6]: Journal publication - Management

Comment [a7]: Institutional arrangements – Technology

Comment [a8]: Journal publication - Indexes

Comment [a9]: Journal sustainability
 Journal funding – Institution
 Journal / article publication growth

Comment [a10]: Faculty productivity – Salaries

Comment [a11]: Journal publication – Institutional actors

Comment [a12]: Journal publication – Indexes
 Journal publication – Institutional standards / policy
 Faculty productivity – Salaries

Comment [a13]: Journal publication – Institutional standards / policy

Comment [a14]: Journal publication – Institutional standards / policy
 Journal sustainability

- *Universidad Nacional de Colombia*

- **Special regulation**
 - Pay increase for publications
 - Decree 1444, universalization
- **Decentralized governance – cybernetic institution**
- **No unified policy – journals**
 - SciELO
 - Funding
 - Data processing
 - Journal policy review – recently
- **School level arrangements and policy**
- **Need of a coordinator of periodical publications/journals**
 - Disparities among schools
- **Key journal**
 - Public health

Comment [a1]: Faculty productivity – salaries

Comment [a2]: Governance - Centralized/decentralized coordination of journal publication

Comment [a3]: Journal publication – Institutional standards / policy
Journal publication – Indexes

Comment [a4]: Governance - Centralized/decentralized coordination of journal publication

Comment [a5]: Journal publication – Institutional standards / policy

- *Universidad de Antioquia*

- **University press unit**
 - Only understanding the business
 - Mostly printing services – too busy
- **Research funding**
 - Stamp pro UdeA
- **Journal funding**
 - Fees for publication
 - Academic units with schools
 - Vice provost for research
 - Subscriptions
 - Stamp pro UdeA
 - Fund – advanced journals
- **Journal personnel and salaries**
 - Editors – part of load
- **Journal editors' committee**
 - Unifying paradigms?
- **Cybernetic institution**
 - Decentralized governance
 - Specific policies within each academic unit
 - Journal publication/funding/personnel responsibility of academic units
 - Financial struggle
- **Indexes/databases**
 - Looking at ISI
 - SciELO
 - Library – data processing
- **Online platform – OJS**
- **Language strategy – planned**
 - Fund through school of languages
 - Peer-reviewers and proofreaders
 - Most articles in English – enter ISI, Scopus

Comment [a6]: Journal publication – Institutional actors

Comment [a7]: Journal funding – Institution

Comment [a8]: Journal editor – Role and characteristics

Comment [a9]: Journal publication – Institutional actors
Governance - Centralized/decentralized coordination of journal publication

Comment [a10]: Unifying paradigms – models of journal / article publication

Comment [a11]: Governance - Centralized/decentralized coordination of journal publication

Comment [a12]: Journal publication – Indexes

Comment [a13]: Institutional arrangements – Technology

Comment [a14]: Communication of research – Language of publication
Journal publication – Institutional standards / policy

- *Universidad del Valle*

- **Historical background**
 - 1998-2000 crisis
 - No publishing committee for 5 years
- **Governance**
 - Vice provost for research
 - Policy-making
 - Funding
 - Increasing indicators
 - Vice deans for research – policy execution
 - Strategic planning
 - Strategic areas
 - Research policy symposium
 - Research policy
 - Research groups/centers/institutes
 - Management
 - Publications
- **Research productivity**
 - Publications and citations
- **Publishing committee**
 - Books
 - Journal guidelines – Publindex
 - No periodical publications' coordinator
 - No integration publishing and research committees
- **Journal funding**
 - Differential for all journals
 - Publication
 - OJS
 - English articles
- **Journal personnel**
 - Editor – Resolution 022 for research activities (half time)
- **Faculty salaries – productivity**
 - Two systems
- **Online platform – OJS**
- **Key journal**
 - Colombia Médica

Comment [a1]: Governance - Centralized/decentralized coordination of journal publication

Comment [a2]: Governance - Centralized/decentralized coordination of journal publication
Journal publication – Institutional standards / policy
Journal funding - Institution

Comment [a3]: Journal publication – Institutional standards / policy

Comment [a4]: Faculty productivity - Salaries

Comment [a5]: Journal publication – Institutional actors

Comment [a6]: Journal funding – Institution

Comment [a7]: Journal management

Comment [a8]: Faculty productivity - Salaries

Comment [a9]: Institutional arrangements - Technology

Comment [a10]: Journal publication – Institutional standards / policy

II. Universities in the study: Venezuela

- **Historical background**
 - CONACYT – 1967
 - FONACIT – 2002
- **Ministry of Science, Technology, and Intermediate Enterprises**
 - Ley Orgánica de Ciencia, Tecnología e innovación (LOCTI) [Organic Law of Science, Technology and Innovation]
 - FONACIT – National Science, Technology, and innovation Fund
 - Programa de Apoyo al Investigador (PPI) [Researcher Support Program] (1991)
 - Publications
 - SCI – beginning
 - RedALyC, Latindex, SciELO since 2000
 - Parallel system - Salary + salary bonuses
 - Journal article proliferation
 - Extinction? Socialist project
 - Programa de Publicaciones Científicas y Tecnológicas [Scientific and Technology Publication Program]
 - Journal funding
 - Before 1990s health and sciences
 - After 1990s humanities
 - Suspended since 2009 – uncertainty
 - Journal marketization – commodity
 - Journal sustainability?
 - PPI replaced by Programa de Estímulo al Investigador (PEI) [Researcher Stimulus Program]
 - Emphasis on national journals
 - But Journal evaluation program frozen
 - Decree on internet – sumptuary
 - Government need internet
 - Faculty salaries
 - Low
 - Complete with PPI
 - Dialogue university-government – needed
 - Risk to lose what gained

Comment [a1]: National standards and evaluation / recognition systems for journal publication

Comment [a2]: Faculty productivity – Salaries

Comment [a3]: Journal funding
Journal sustainability

Comment [a4]: Faculty productivity – Salaries

Comment [a5]: Journal funding – Government

Comment [a6]: National standards and evaluation / recognition systems for journal publication

Comment [a7]: Journal /article publication growth
Comment [a8]: Journal sustainability

Comment [a9]: Faculty productivity – Salaries

Comment [a10]: National policy affecting journal publication

Comment [a11]: Faculty productivity – Salaries

Comment [a12]: Journal / article publication growth